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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT    : RAYMOND A. JOAO

PATENT NO.:  7,490,048

ISSUED       : FEBRUARY 10, 2009

SERIAL NO.:  09/987,226

FILED        : NOVEMBER 14, 2001

FOR          : APPARATUS AND METHOD FOR PROCESSING AND/OR FOR
               PROVIDING HEALTHCARE INFORMATION AND/OR
               HEALTHCARE-RELATED INFORMATION

EXAMINER     : L. NAJARIAN

GROUP        : 3686

ALLOWED      : NOVEMBER 10, 2008

CONFIRMATION NO.: 6756

Attention: Certificate of Corrections Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

### REQUEST FOR CERTIFICATE OF CORRECTION OF OFFICE MISTAKE
### PURSUANT TO 37 C.F.R. §1.322

Sir:


This is a Request for Certificate of Correction of FEB 2 4 2009

Office mistake, pursuant to 37 C.F.R. §1.322, for the above-identified U.S. Patent No. 7,490,048.

Applicant respectfully submits that each of issued Claims 1, 2, and 20 contains an error which resulted from Office mistake.

Regarding issued Claim 1, Applicant respectfully submits that issued Claim 1, at line 15, contains an erroneous underscore ("_") between the words "notification" and "report", and that this error was the result of Office mistake. Applicant submits that issued Claim 1 corresponds to pending Claim 1 which pending Claim 1 is contained in Applicant's Amendment, filed September 26, 2008 at pages 3-4. Issued Claim 1 contains, at line 15, which line corresponds to Col. 55, line 34 of U.S. Patent No. 7,490,048, an erroneous underscore ("_") between "notification" and "report". No such underscore is present in pending Claim 1 provided in Applicant's Amendment, filed September 26, 2008. Applicant submits herewith a copy of pages 3 and 4 of the Amendment, filed September 26, 2008, which contains pending Claim 1, which issued as Claim 1 in U.S. Patent No. 7,490,048. It is noted that, in the pertinent portion of pending Claim 1, at line 15 of page 3 of the Amendment, filed September 26, 2008,

there is no underscore ("_") between "notification" and "report". Applicant respectfully submits that the erroneous insertion of the underscore ("_") between "notification" and "report" was the result of Office mistake, and Applicant respectfully requests correction of the above Office mistake.

Regarding issued Claim 2, at line 6, Applicant respectfully submits that a comma (",") was erroneously deleted between the words "patient" and "wherein" in issued Claim 2, and that this error was the result of Office mistake. Claim 2 corresponds to pending Claim 50 which Claim 50 is contained in Applicant's Amendment, filed September 26, 2008, at pages 4-6. It is noted that, in the pertinent portion of pending Claim 50 at line 2 of page 5 of the Amendment, filed September 26, 2008, there is a comma (",") between "patient" and "wherein". Applicant respectfully submits that the erroneous deletion of the comma (",") between "patient" and "wherein" was the result of Office mistake, and Applicant respectfully requests correction of the above Office mistake.

Regarding issued Claim 20, at line 44, Applicant respectfully submits that a comma (",") was erroneously inserted between the words "request" and "and" in issued Claim 20, and that this error was the result of Office mistake.

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Claim 20 corresponds to pending Claim 68 which Claim 68 is

contained in Applicant's Amendment, filed September 26, 2008,

at pages 18-21.  It is noted that, in the pertinent portion of

pending Claim 68 at line 6 of page 20 of the Amendment, filed

September 26, 2008, there is no comma (",") between "request"

and "and".  Applicant respectfully submits that the erroneous

insertion of a comma (",") between "request" and "and" was the

result of Office mistake, and Applicant respectfully requests

correction of the above Office mistake.


Applicant submits herewith a Form PTO/SB/44 (Form PTO

1050) with Applicant's proposed corrections for each of issued

Claims 1, 2, and 20.


With regards to Claim 1, Applicant respectfully

proposes that the above-identified mistake in Claim 1 be

corrected by changing "notification_report" to "notification

report" so as to delete the erroneous underscore ("_") at line

15 of Claim 1, which corresponds to Column 55, line 34 of U.S.

Patent No. 7,490,048.  In this regard, Applicant proposes

that Column, 55, line 34, be corrected by changing

"notification_report" to "notification report".

With regards to Claim 2, Applicant respectfully proposes that the above-identified mistake in Claim 2 be corrected by changing "individual or patient wherein" to "individual or patient, wherein" so as to insert a comma (",") after "patient" at line 6 of Claim 2, which corresponds to Column 55, line 55 of U.S. Patent No. 7,490,048. In this regard, Applicant proposes that Column 55, line 55, be corrected by changing "individual or patient wherein" to "individual or patient, wherein".

With regards to Claim 20, Applicant respectfully proposes that the above-identified mistake in Claim 20 be corrected by changing "request, and identification" to "request and identification" so as to delete the comma (",") after "request" at line 44 of Claim 20, which corresponds to Column 60, line 29 of U.S. Patent No. 7,490,048. In this regard, Applicant proposes that Column 60, line 29, be corrected by changing "request, and identification" to "request and identification".

Applicant hereby authorizes and respectfully requests the Office of Patent Publications to prepare any necessary document(s) for, and to issue a Certificate of Correction for,
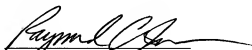
U.S. Patent No. 7,490,048 which corrects the above-identified mistakes.

It is respectfully requested that a Certificate of Correction be issued for the above-identified U.S. Patent No. 7,490,048 to correct the above-identified Office mistakes in each of issued Claims 1, 2 and 20.

No fee is due with this Request For Certificate of Correction as the mistakes to be corrected were incurred through the fault of the Office and the mistakes are clearly disclosed in the records of the Office.

Respectfully Submitted,

Raymond A. Joao
Reg. No. 35,907

Encls.: - Form PTO/SB/44 (Form PTO 1050)
        - Amendment, filed September 26, 2008, pages 1, 3-6, and 18-21
        - Return Receipt Postcard

February 14, 2009

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# UNITED STATES PATENT AND TRADEMARK OFFICE
## CERTIFICATE OF CORRECTION

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PATENT NO.　　: 7,490,048 B2

APPLICATION NO.: 09/987,226

ISSUE DATE　　: February 10, 2009

INVENTOR(S)　: Raymond Anthony Joao

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 55, line 34, change "notification_report" to "notification report".

Column 55, line 55, change "individual or patient wherein" to "individual or patient, wherein".

Column 60, line 29, change "request, and identification" to "request and identification".

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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EXAMINER   :  L. NAJARIAN

GROUP      :  3626


Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


### AMENDMENT

Sir:

        This is an Amendment in the above-identified

application.  Entry of this Amendment is respectfully

requested.


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**IN THE CLAIMS:**

Please amend Claims 64-67 and please add new Claims 85-88, as provided in the following Listing of Claims:

**Listing of Claims:**

Claim 1. (Previously Presented)  An apparatus, comprising:

a processor, wherein the processor processes a request to at least one of access, obtain, change, alter, and modify, information contained in a patient's healthcare record or a patient's healthcare file of a patient, wherein the processor determines whether an individual or entity is authorized to at least one of access, obtain, change, alter, and modify, the information contained in a patient's healthcare record or a patient's healthcare file, and further wherein the processor generates a notification report containing at least one of information regarding the individual or entity making the request, identification information regarding the individual or entity, and a time and date of the request, and further wherein the notification report contains an actual change, alteration, or modification, sought to be made or made to the

information contained in a patient's healthcare record or a
patient's healthcare file; and

a transmitter, wherein the transmitter transmits the
notification report to a patient communication device of the
patient via, on, or over, a communication network, and further
wherein the notification report is transmitted to the patient
communication device at least one of during, concurrently
with, at a same time as, and prior to a completion of, at
least one of an accessing, an obtaining, a changing, an
altering, and a modifying, of the information contained in a
patient's healthcare record or a patient's healthcare file by
the individual or entity, or at least one of during,
concurrently with, at a same time as, and prior to a
completion of, a processing of the request.

Claims 2-49. (Canceled)

Claim 50. (Previously Presented)   A computer-
implemented method, comprising:

processing, with a processor, a request by a person or
an entity to at least one of access, obtain, change, alter,
and modify, information contained in an individual's or

patient's healthcare record or an individual's or patient's healthcare file of the individual or patient, wherein the individual's or patient's healthcare record or the individual's or patient's healthcare file of the individual or patient contains healthcare information or healthcare-related information personal to the individual or patient;

generating a message containing at least one of information regarding the person or the entity making the request and identification information regarding the person or the entity making the request, and further wherein the message contains an actual change, alteration, or modification, sought to be made or made to the information contained in an individual's or patient's healthcare record or an individual's or patient's healthcare file; and

transmitting the message to a communication device of the individual or patient via, on, or over, a communication network, wherein the message is transmitted to the communication device of the individual or patient at least one of during, concurrently with, at a same time as, and prior to a completion of, at least one of an accessing, an obtaining, a changing, an altering, and a modifying, of the information contained in an individual's or patient's healthcare record or

an individual's or patient's healthcare file by the person or the entity, or at least one of during, concurrently with, at a same time as, and prior to a completion of, a processing of the request to at least one of access, obtain, change, alter, and modify, the information contained in an individual's or patient's healthcare record or an individual's or patient's healthcare file.

Claim 51. (Previously Presented)  The computer-implemented method of Claim 50, further comprising:

receiving a response to the message, wherein the response to the message is transmitted from the communication device of the individual or patient, and further wherein the response to the message contains information for terminating or denying at least one of an accessing, an obtaining, a changing, an altering, and a modifying, of the information contained in an individual's or patient's healthcare record or an individual's or patient's healthcare file; and

terminating or denying the request to at least one of access, obtain, change, alter, and modify, the information contained in an individual's or patient's healthcare record or an individual's or patient's healthcare file.

patient, and a computer or communication device associated

with a healthcare insurer or a healthcare payer of the

individual or patient.


    Claim 68. (Previously Presented)   A computer-

implemented method, comprising:


    receiving information regarding a restriction or

limitation regarding an ability of a person or an entity to at

least one of access, obtain, change, alter, and modify,

information contained in an individual's or patient's

healthcare record or an individual's or patient's healthcare

file, wherein the individual's or patient's healthcare record

or the individual's or patient's healthcare file of the

individual or patient contains healthcare information or

healthcare-related information personal to the individual or

patient, wherein the restriction or limitation contains

information regarding at least one of a healthcare provider, a

healthcare payer, a healthcare insurer, and an authorized

entity, and information regarding a designated purpose for

allowing each of the at least one of a healthcare provider, a

healthcare payer, a healthcare insurer, and an authorized

entity, to at least one of access, obtain, change, alter, and

modify, the information contained in an individual's or

patient's healthcare record or an individual's or patient's
healthcare file, wherein the designated purpose is at least
one of to perform a diagnosis, to perform a diagnosis for a
certain ailment, illness, or symptom, to provide a second
opinion, to verify or disprove a condition or a pre-existing
condition, to submit an insurance claim, and to process an
insurance claim;

storing the information regarding a restriction or
limitation regarding an ability of a person or an entity to at
least one of access, obtain, change, alter, and modify, the
information contained in an individual's or patient's
healthcare record or an individual's or patient's healthcare
file;

processing, with a processor, a request by a person or
an entity to at least one of access, obtain, change, alter,
and modify, the information contained in an individual's or
patient's healthcare record or an individual's or patient's
healthcare file;

determining, using the information regarding the
restriction or limitation, whether the person or the entity is
allowed or authorized to at least one of access, obtain,

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change, alter, and modify, the information contained in an
individual's or patient's healthcare record or an individual's
or patient's healthcare file;

generating a message containing at least one of
information regarding the person or the entity making the
request and identification information regarding the person or
the entity making the request, and further wherein the message
contains an actual change, alteration, or modification, made
to the information contained in an individual's or patient's
healthcare record or an individual's or patient's healthcare
file; and

transmitting the message to a communication device of
the individual or patient via, on, or over, a communication
network, wherein the message is transmitted to the
communication device of the individual or patient at least one
of during, concurrently with, at a same time as, and prior to
a completion of, at least one of an accessing, an obtaining, a
changing, an altering, and a modifying, of the information
contained in an individual's or patient's healthcare record or
an individual's or patient's healthcare file by the person or
the entity, or at least one of during, concurrently with, at a
same time as, and prior to a completion of, a processing of

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the request to at least one of access, obtain, change, alter, and modify, the information contained in an individual's or patient's healthcare record or an individual's or patient's healthcare file.

Claim 69. (Previously Presented)  The computer-implemented method of Claim 50, further comprising:

storing information regarding a schedule of a healthcare provider;

receiving information regarding an appointment made by the individual or patient with the healthcare provider; and

updating the information regarding a schedule of the healthcare provider to include the information regarding an appointment made by the individual or patient with the healthcare provider.

Claim 70. (Previously Presented)  The computer-implemented method of Claim 69, further comprising:

transmitting a second message to the communication device of the individual or patient, wherein the second